



**ICSID**

**International Centre for  
Settlement of Investment Disputes**  
WORLD BANK GROUP

# **THE ICSID CASELOAD — STATISTICS**

**ISSUE 2020-1**

# The ICSID Caseload – Statistics

(Issue 2020-1)

This issue of the *ICSID Caseload – Statistics* updates the profile of the ICSID caseload, historically and for the calendar year 2019. It is based on cases registered or administered by ICSID as of December 31, 2019.

This report illustrates: the number of cases registered under the ICSID Convention and Additional Facility Rules; the number of cases administered by ICSID; the basis of consent invoked for ICSID jurisdiction; the geographic distribution of ICSID cases by the State party to the dispute;<sup>1</sup> and the economic sectors involved in ICSID disputes.<sup>2</sup> It also contains data on outcomes in ICSID arbitration and conciliation proceedings, including further information on disputes decided by arbitral tribunals, data on discontinued ICSID arbitration proceedings, and outcomes to date in annulment proceedings under the ICSID Convention. The nationalities, geographic origins and gender of arbitrators, conciliators and *ad hoc* committee members appointed in ICSID cases are also featured.

The Secretariat will continue to publish the *ICSID Caseload – Statistics* on a bi-annual basis and welcomes any comments or suggestions by email at [ICSIDsecretariat@worldbank.org](mailto:ICSIDsecretariat@worldbank.org).

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<sup>1</sup> The classification of the geographic regions is based on the World Bank's regional system, available at <https://www.worldbank.org/en/where-we-work> <http://web.worldbank.org/WBSITE/EXTERNAL/COUNTRIES/0,,pagePK:180619~theSitePK:136917,00.html>, and also includes World Bank donor countries.

<sup>2</sup> The economic sector classification is based on the World Bank's sector codes, available at <https://projects.worldbank.org/en/projects-operations/project-sector>.

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## PART II

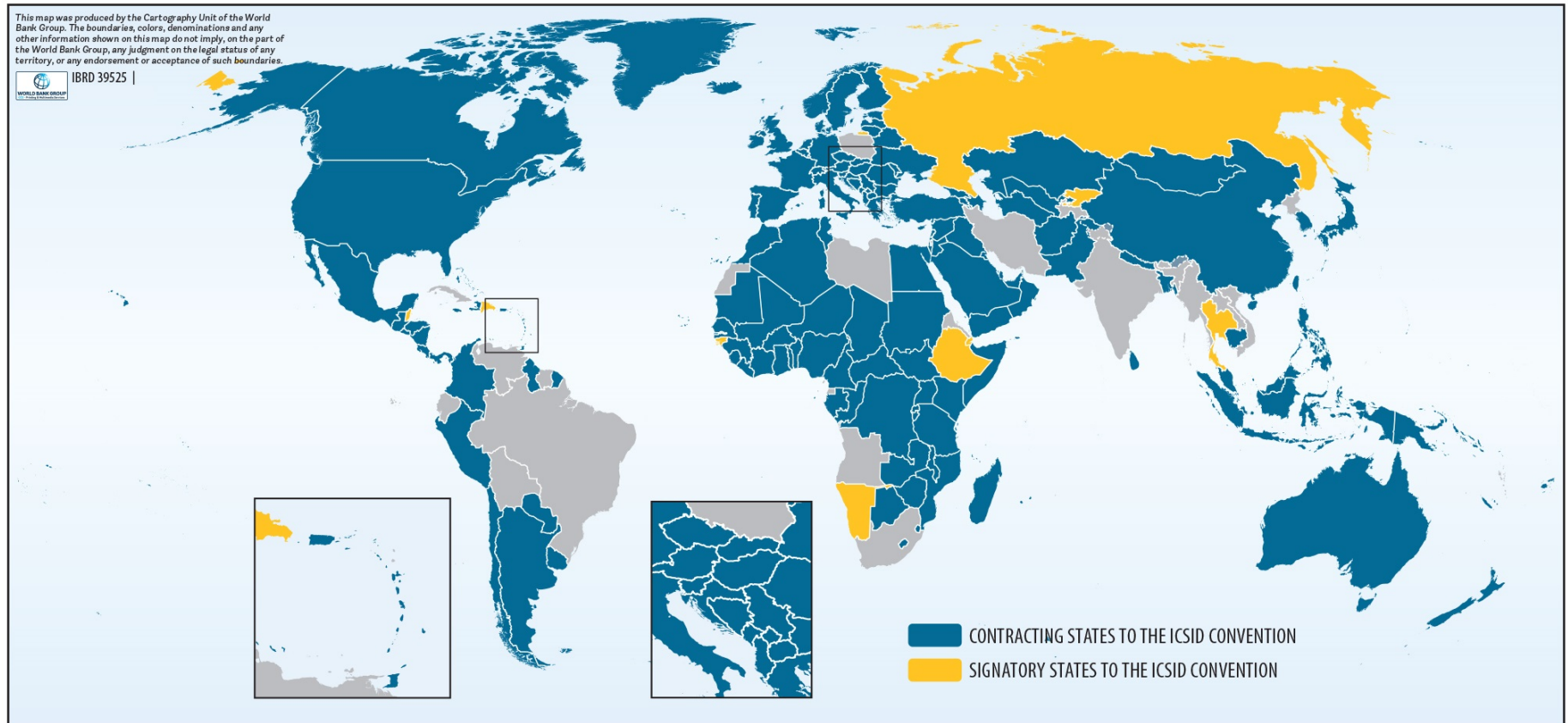
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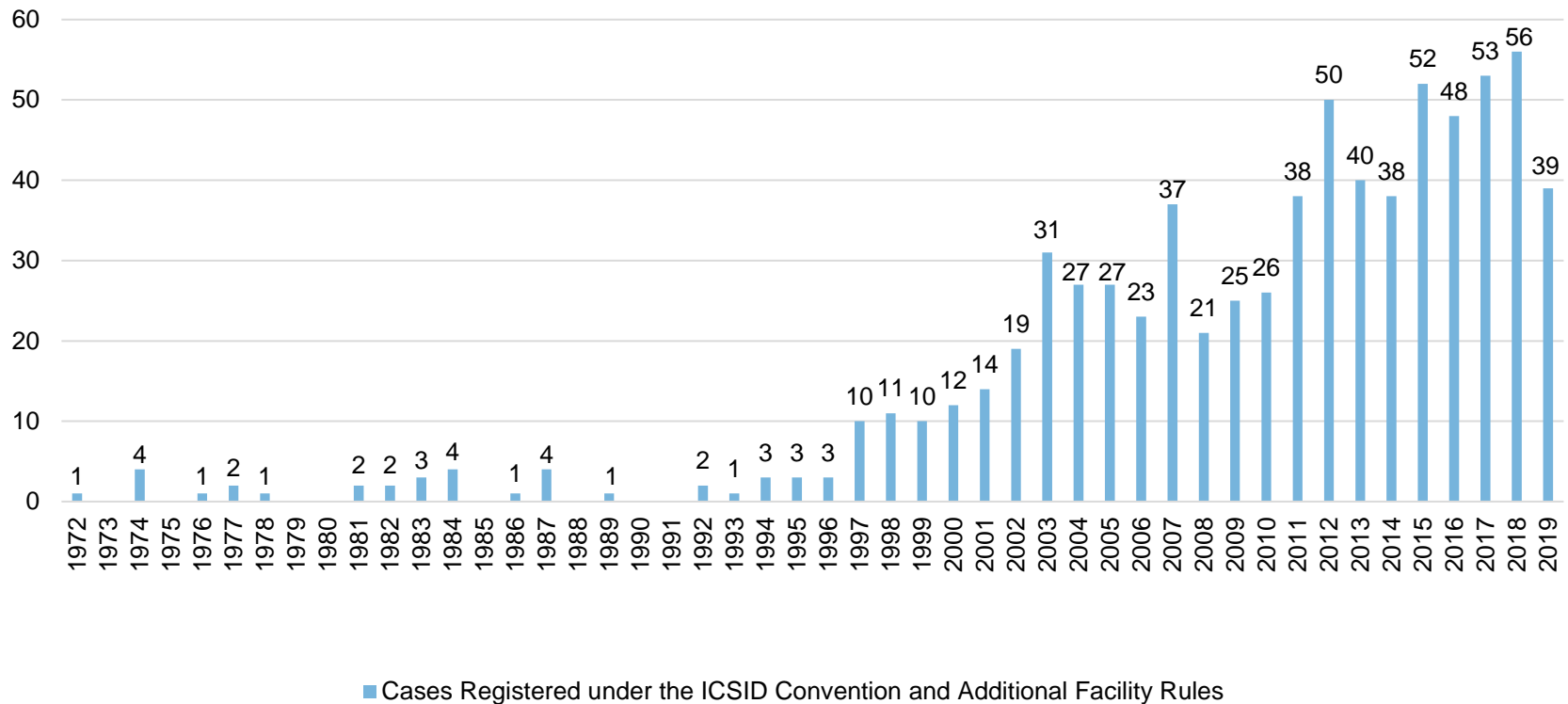
**PART I**  
**ALL CASES REGISTERED AND ADMINISTERED**  
**BY ICSID**

## 1. Map of the Contracting States and Other Signatories of the ICSID Convention as of December 31, 2019



## 2. Cases Registered by ICSID under the ICSID Convention and Additional Facility Rules

Chart 1: Total Number of ICSID Cases Registered, by Calendar Year

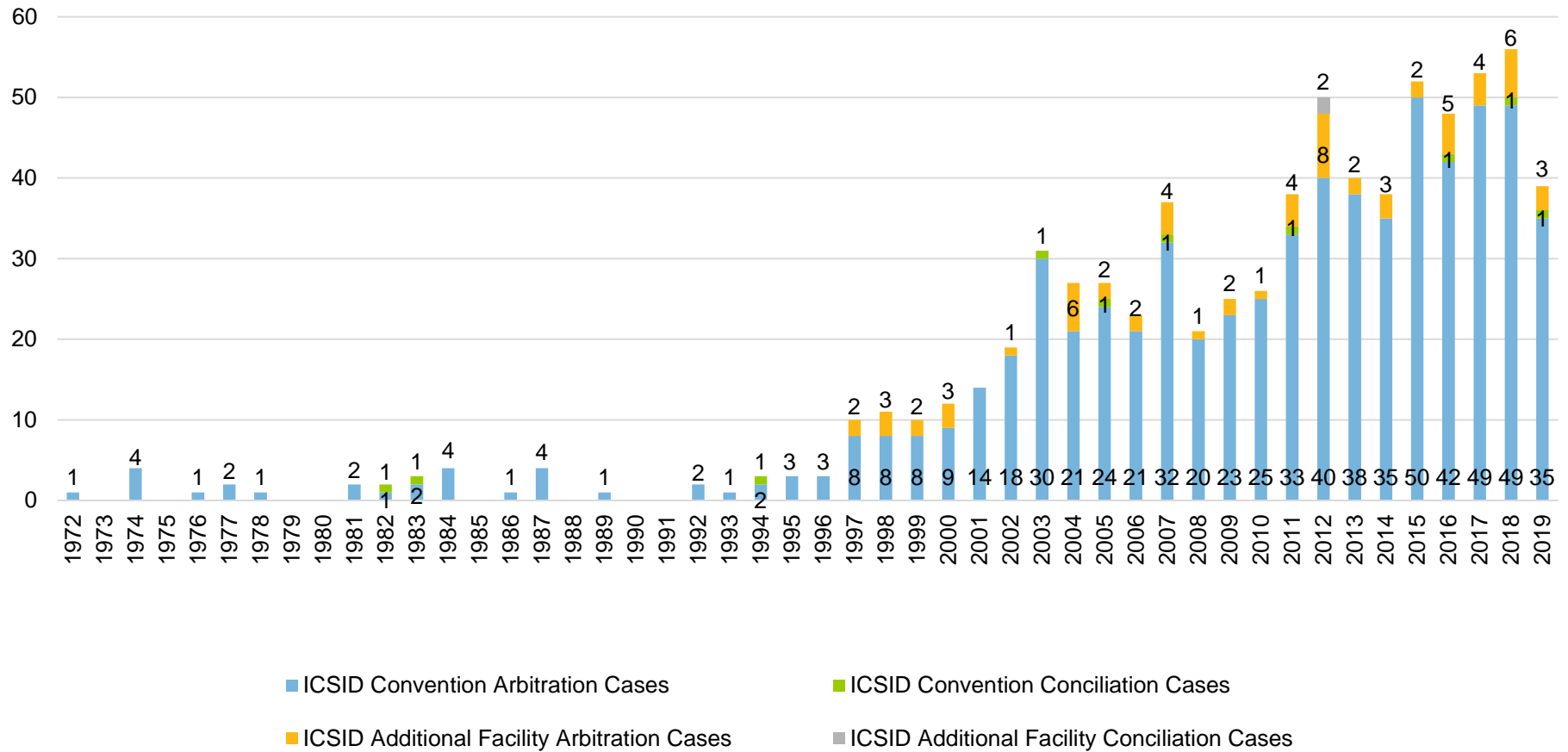


As of December 31, 2019, ICSID had registered 745 cases under the ICSID Convention and Additional Facility Rules.

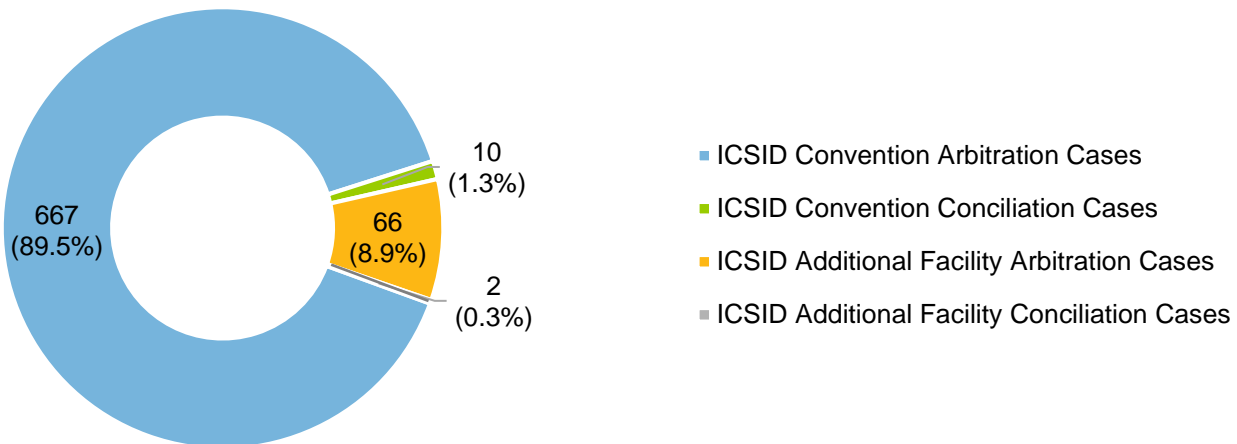


# 1966 – 2019 Statistics

**Chart 2:** Number of ICSID Cases Registered, by Calendar Year



**Chart 3: Type of ICSID Cases Registered**



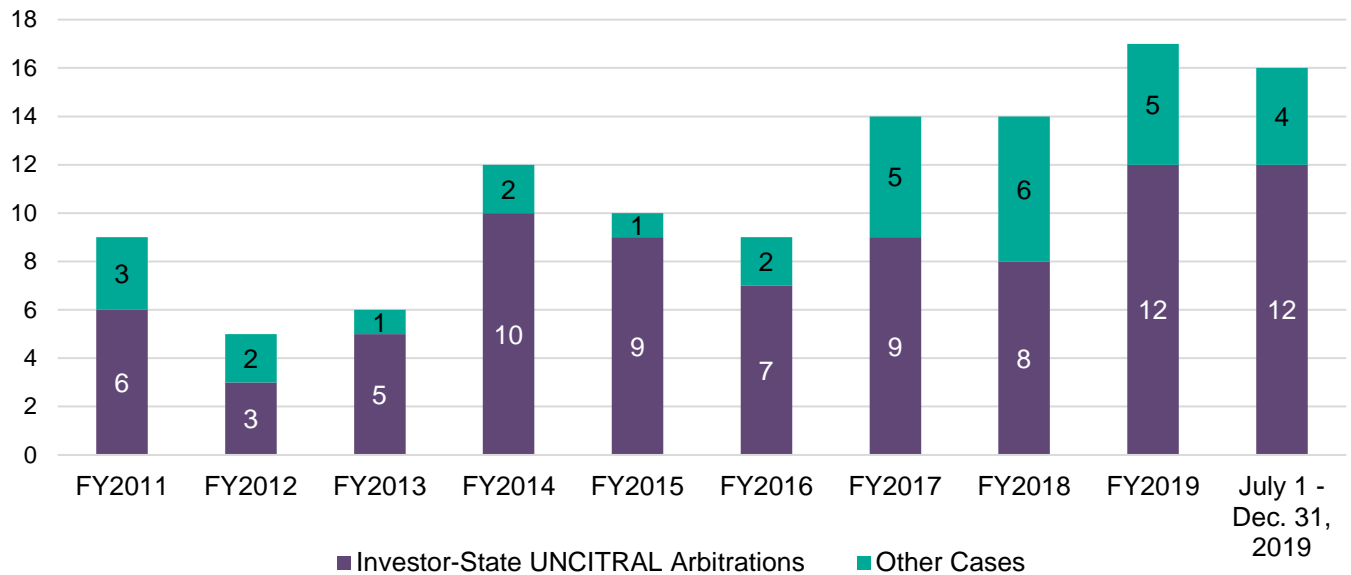
### 3. Cases Administered by the ICSID Secretariat under the ICSID Convention, the Additional Facility Rules and Other Rules

The ICSID Secretariat offers administrative support and facilities in State-State and investor-State proceedings conducted under non-ICSID rules. Among the State-State cases administered by ICSID are the *Southern Bluefin Tuna* case (Australia and New Zealand v. Japan), an expert determination proceeding under the Indus Waters Treaty of 1960, and an arbitration under the Softwood Lumber Agreement of 2006 (United States v. Canada).

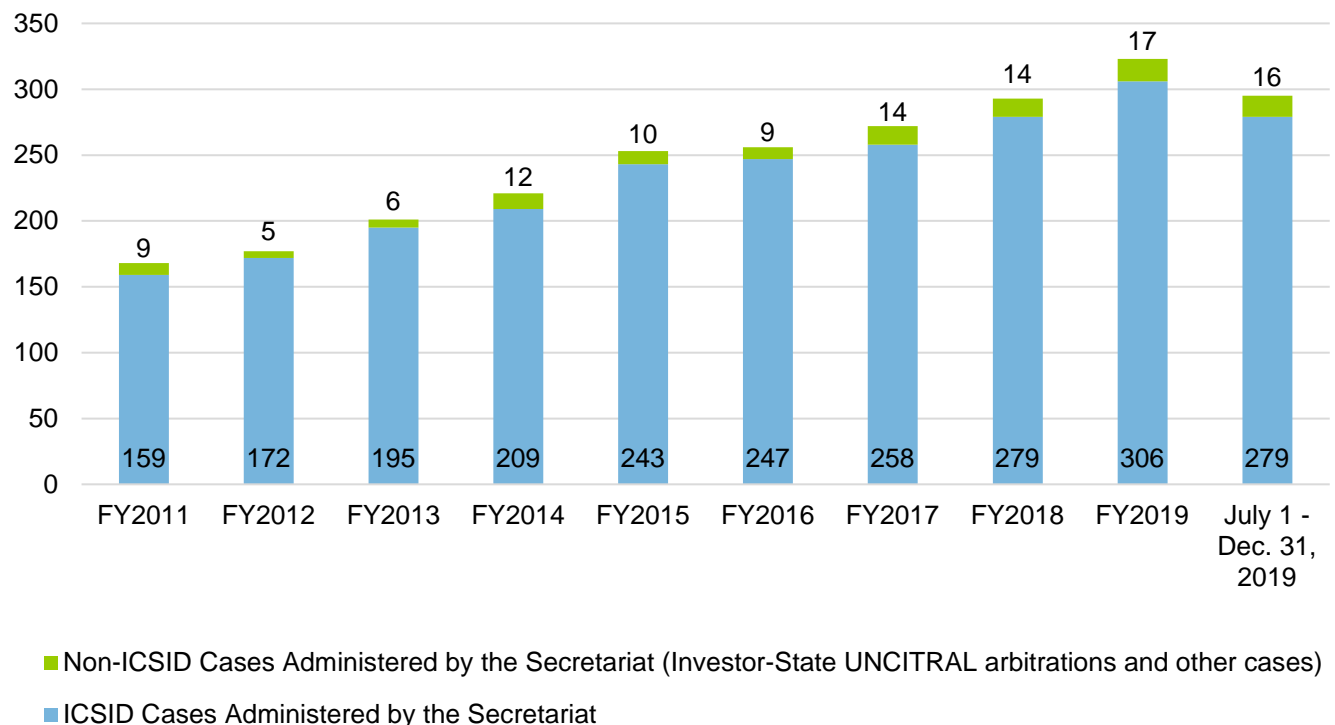
The Centre also frequently provides administrative assistance to investor-State arbitrations under the UNCITRAL Arbitration Rules, free trade agreements and other *ad hoc* dispute settlement provisions. The Centre's services in these proceedings range from support with the organization of hearings to comprehensive administrative services comparable to those provided in ICSID cases. The Secretariat also acts as appointing authority, and decides proposals for disqualification of arbitrators, upon request. In addition to administering UNCITRAL arbitrations, ICSID has also assisted with the organization of hearings in arbitration proceedings conducted under the auspices of the ICC, LCIA, PCA, SCC, and other institutions.

For further information, please visit the Centre's [website](#) or contact the [Secretariat](#).

**Chart 4:** Number of Non-ICSID Cases Administered by the ICSID Secretariat over the Past Ten Fiscal Years



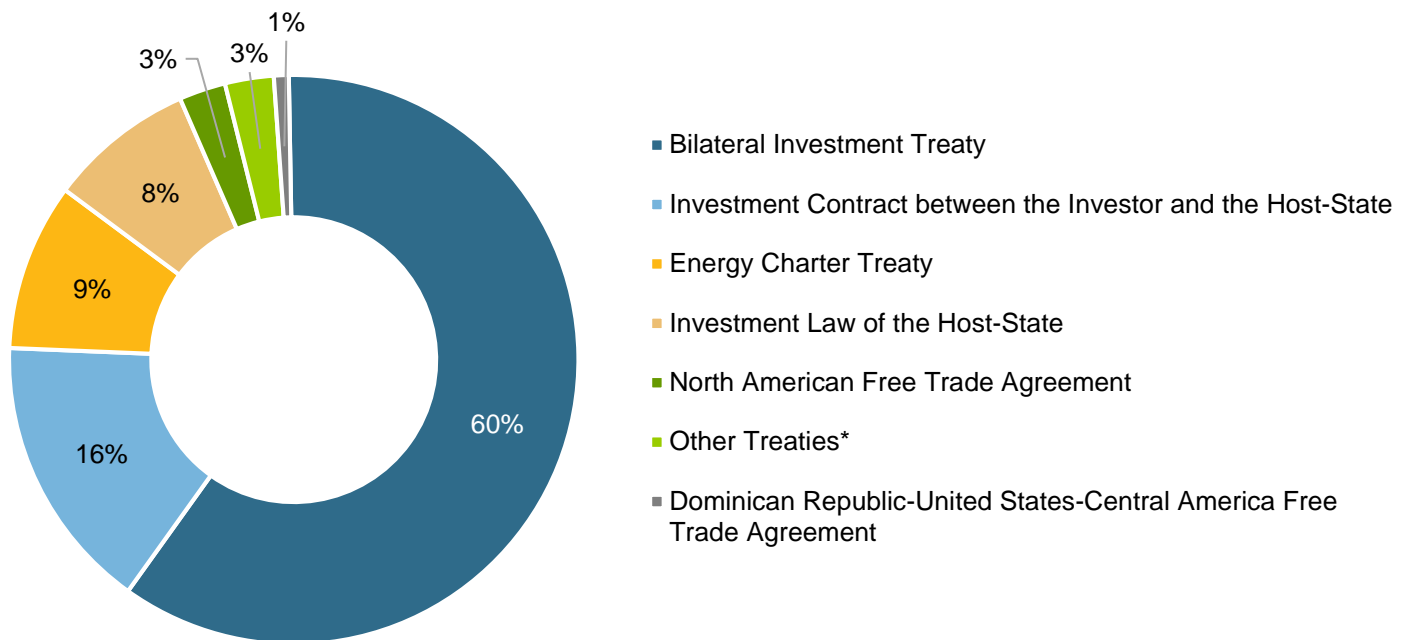
**Chart 5:** Total Cases Administered by the ICSID Secretariat, under ICSID and Non-ICSID Rules



■ Non-ICSID Cases Administered by the Secretariat (Investor-State UNCITRAL arbitrations and other cases)  
 ■ ICSID Cases Administered by the Secretariat

## 4. Basis of Consent Invoked to Establish ICSID Jurisdiction in Registered ICSID Cases

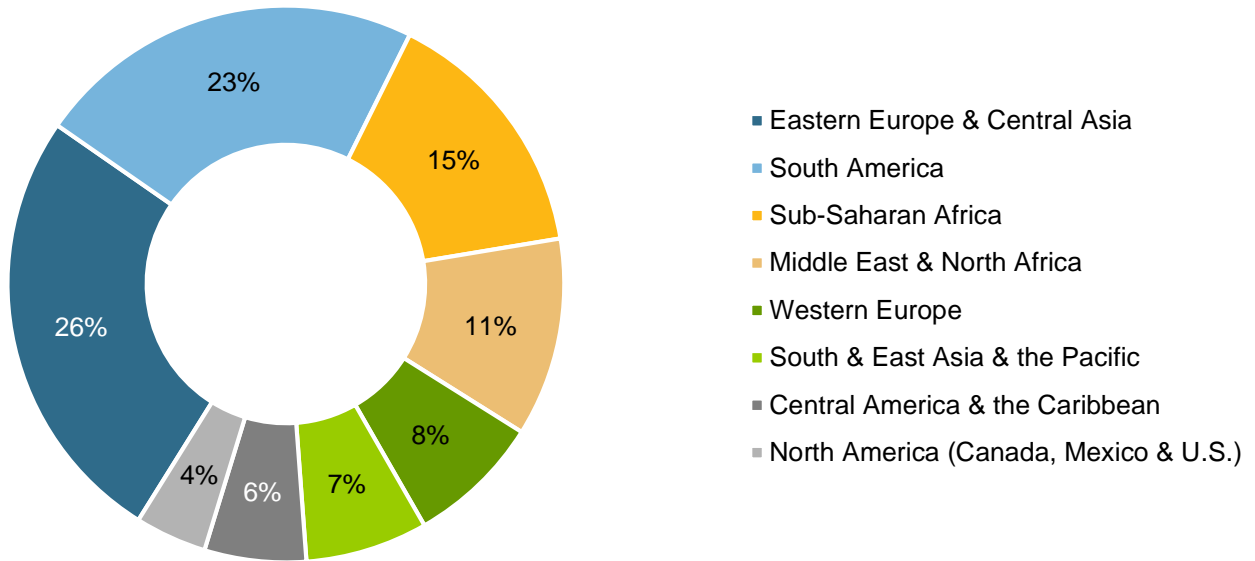
**Chart 6:** Basis of Consent Invoked to Establish ICSID Jurisdiction in Cases Registered under the ICSID Convention and Additional Facility Rules



\* This category includes the Agreement on Promotion and Reciprocal Protection of Investments in the Member States of the Eurasian Economic Community; Agreement on Promotion, Protection and Guarantee of Investments among Member States of the Organisation of the Islamic Conference; ASEAN Agreement for the Promotion and Protection of Investments; Canada-Colombia Free Trade Agreement; Canada-Peru Free Trade Agreement; Central America-Panama Free Trade Agreement; Chile-Colombia Free Trade Agreement; Colombia-Mexico Free Trade Agreement; Oman-U.S. Free Trade Agreement; Peru-Singapore Free Trade Agreement; Treaty on the Eurasian Economic Union; U.S.-Colombia Trade Promotion Agreement; U.S.-Morocco Free Trade Agreement; U.S.-Panama Trade Promotion Agreement; and the U.S.-Peru Trade Promotion Agreement.

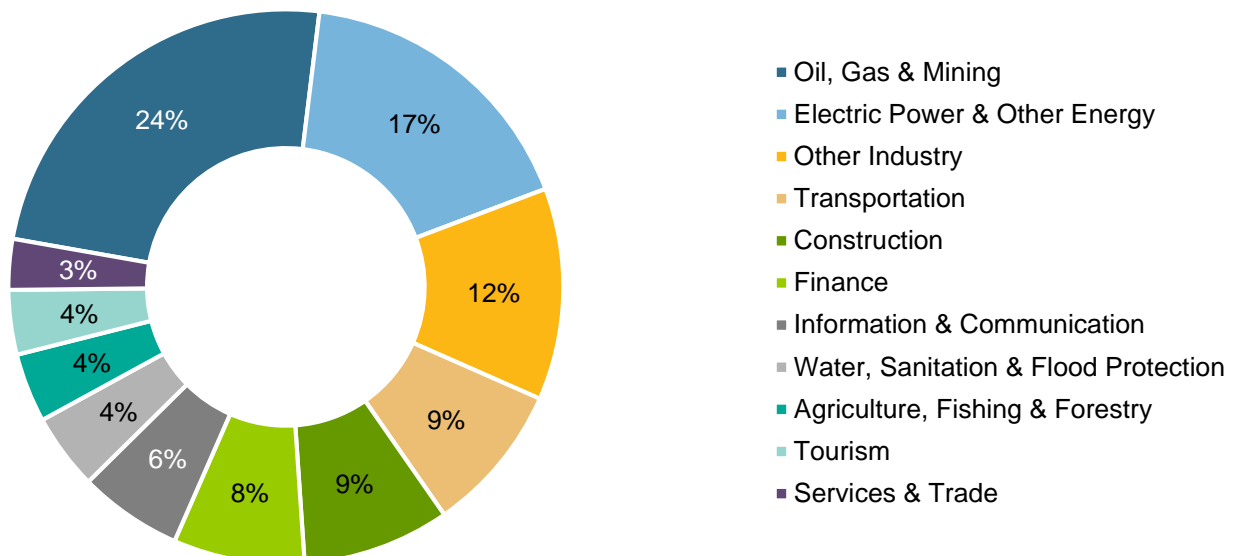
## 5. Geographic Distribution of All ICSID Cases, by State Party Involved

**Chart 7:** Geographic Distribution of All Cases Registered under the ICSID Convention and Additional Facility Rules, by State Party Involved



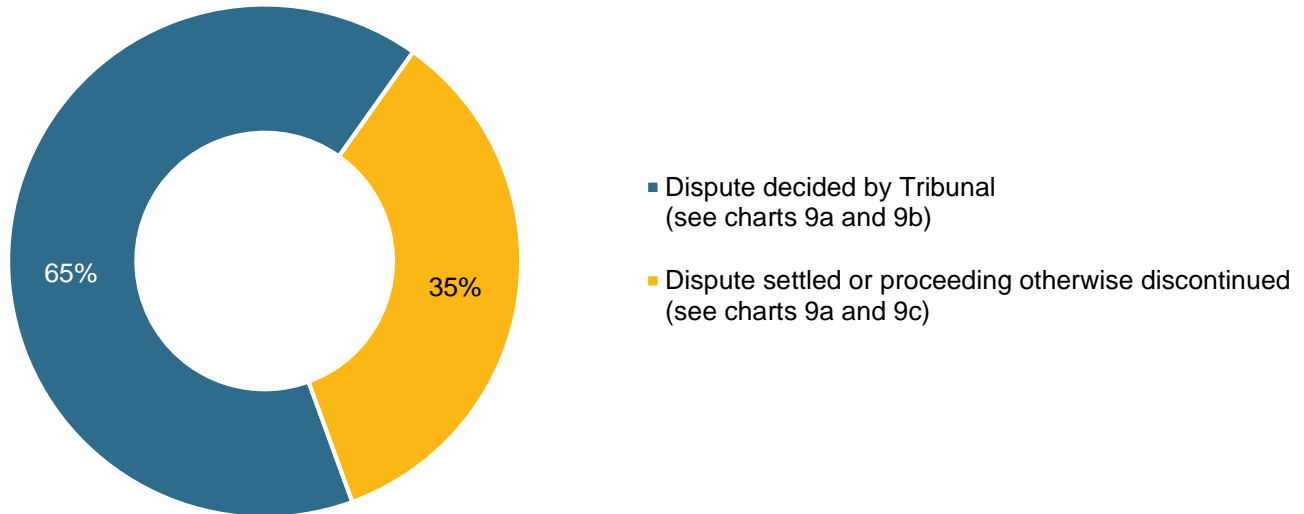
## 6. Distribution of All ICSID Cases by Economic Sector

**Chart 8:** Geographic Distribution of All Cases Registered under the ICSID Convention and Additional Facility Rules, by Economic Sector

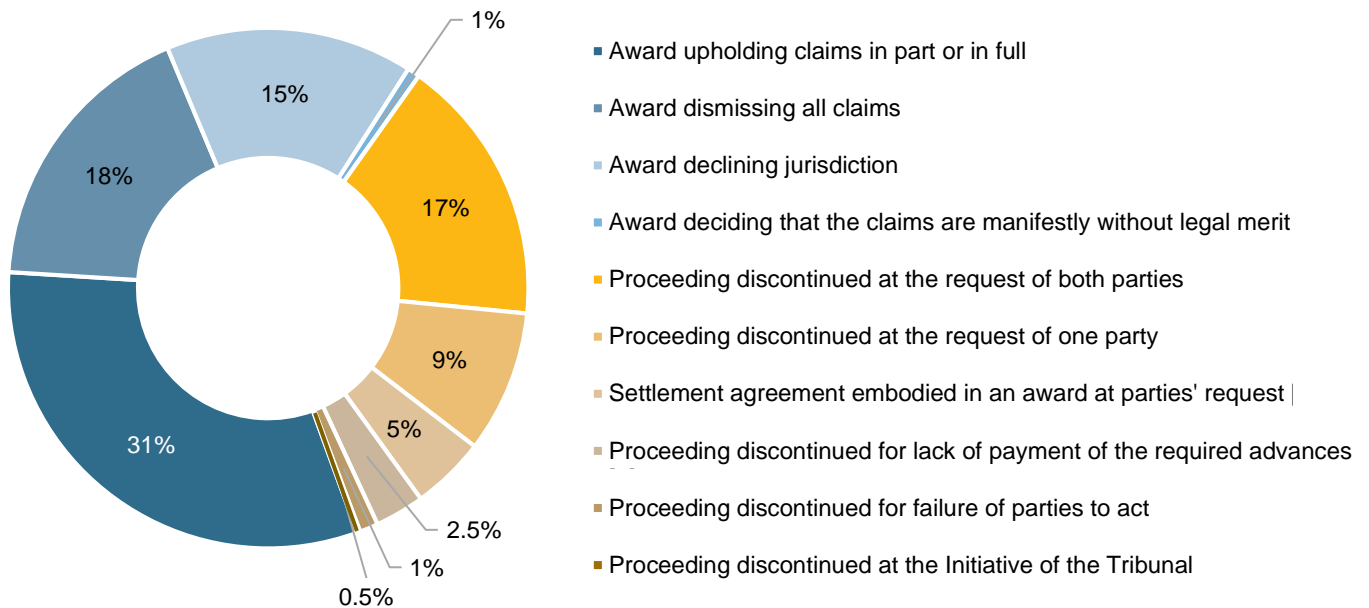


## 7. ICSID Arbitration Proceedings – Outcomes

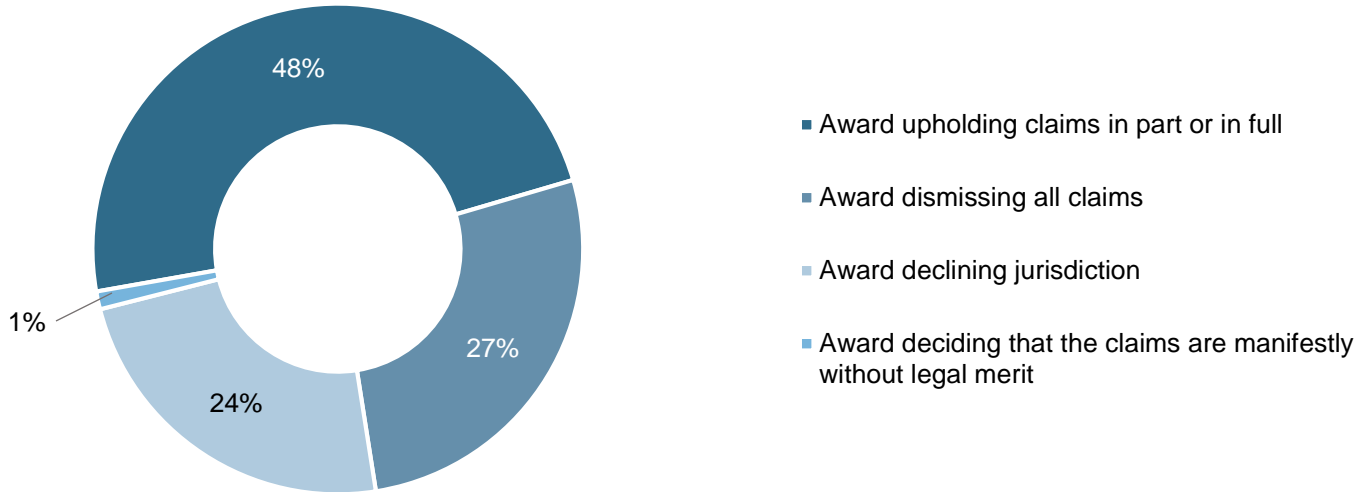
**Chart 9:** Arbitration Proceedings under the ICSID Convention and Additional Facility Rules – Outcomes



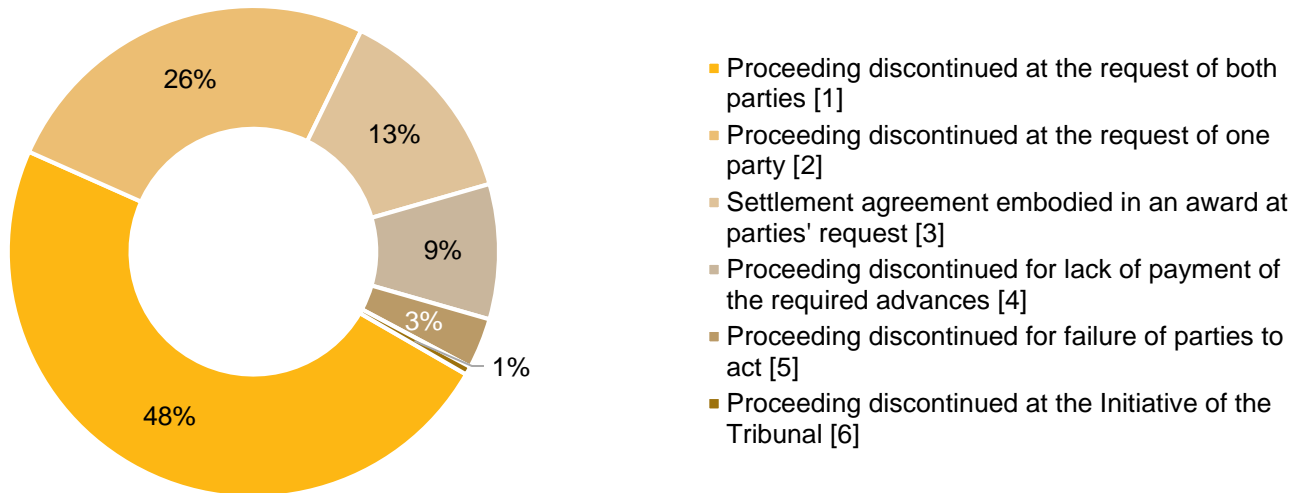
**Chart 9a:** Arbitration Proceedings under the ICSID Convention and Additional Facility Rules – Tribunal Rulings, Settlement & Discontinuances



**Chart 9b:** Disputes Decided by Arbitral Tribunals under the ICSID Convention and Additional Facility Rules – Findings



**Chart 9c:** Disputes Settled or Proceedings Otherwise Discontinued under the ICSID Convention and Additional Facility Rules – Basis



[1] ICSID Arbitration Rule 43(1) and Arbitration (Additional Facility) Rule 49(1).

[2] ICSID Arbitration Rule 44. No case concluded to date on the basis of Arbitration (Additional Facility) Rule 50.

[3] ICSID Arbitration Rule 43(2) and Arbitration (Additional Facility) Rule 49(2).

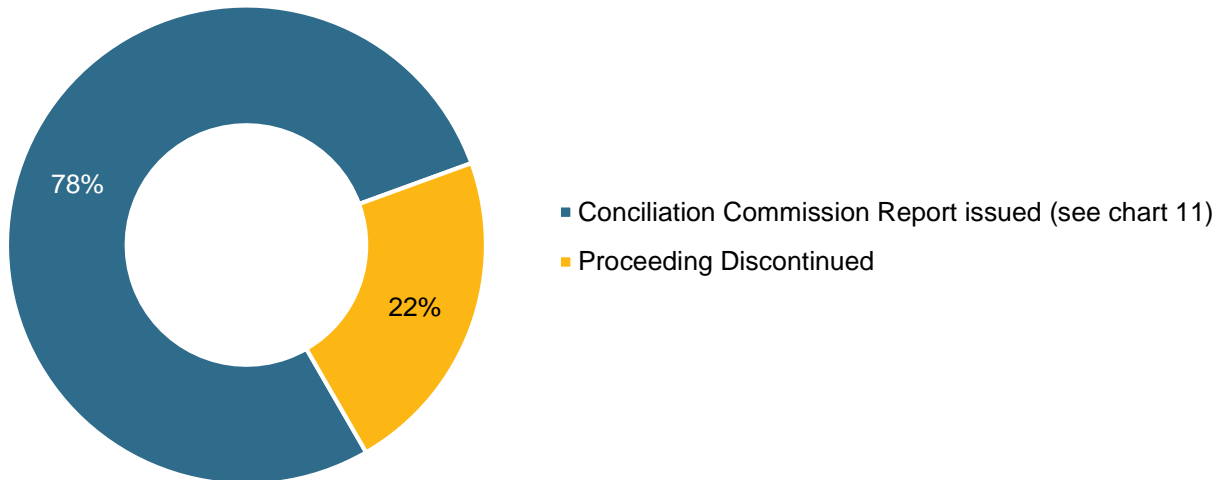
[4] ICSID Administrative & Financial Regulation 14(3)(d).

[5] ICSID Arbitration Rule 45 and Arbitration (Additional Facility) Rule 51.

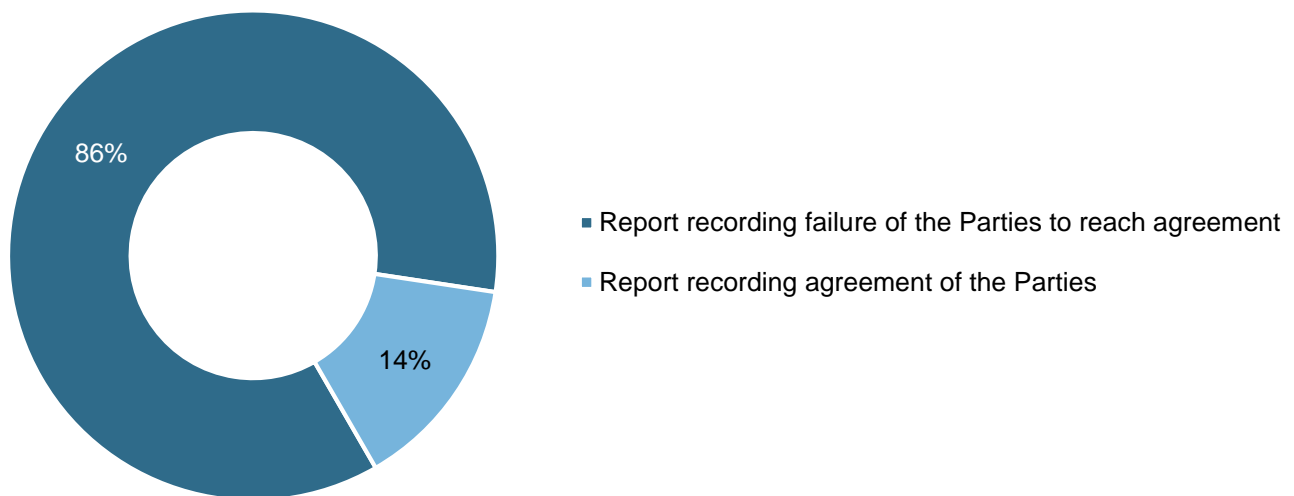
[6] In accordance with Article 44 of the ICSID Convention.

## 8. ICSID Conciliation Proceedings – Outcomes

**Chart 10:** Conciliation Proceedings under the ICSID Convention and Additional Facility Rules – Outcomes



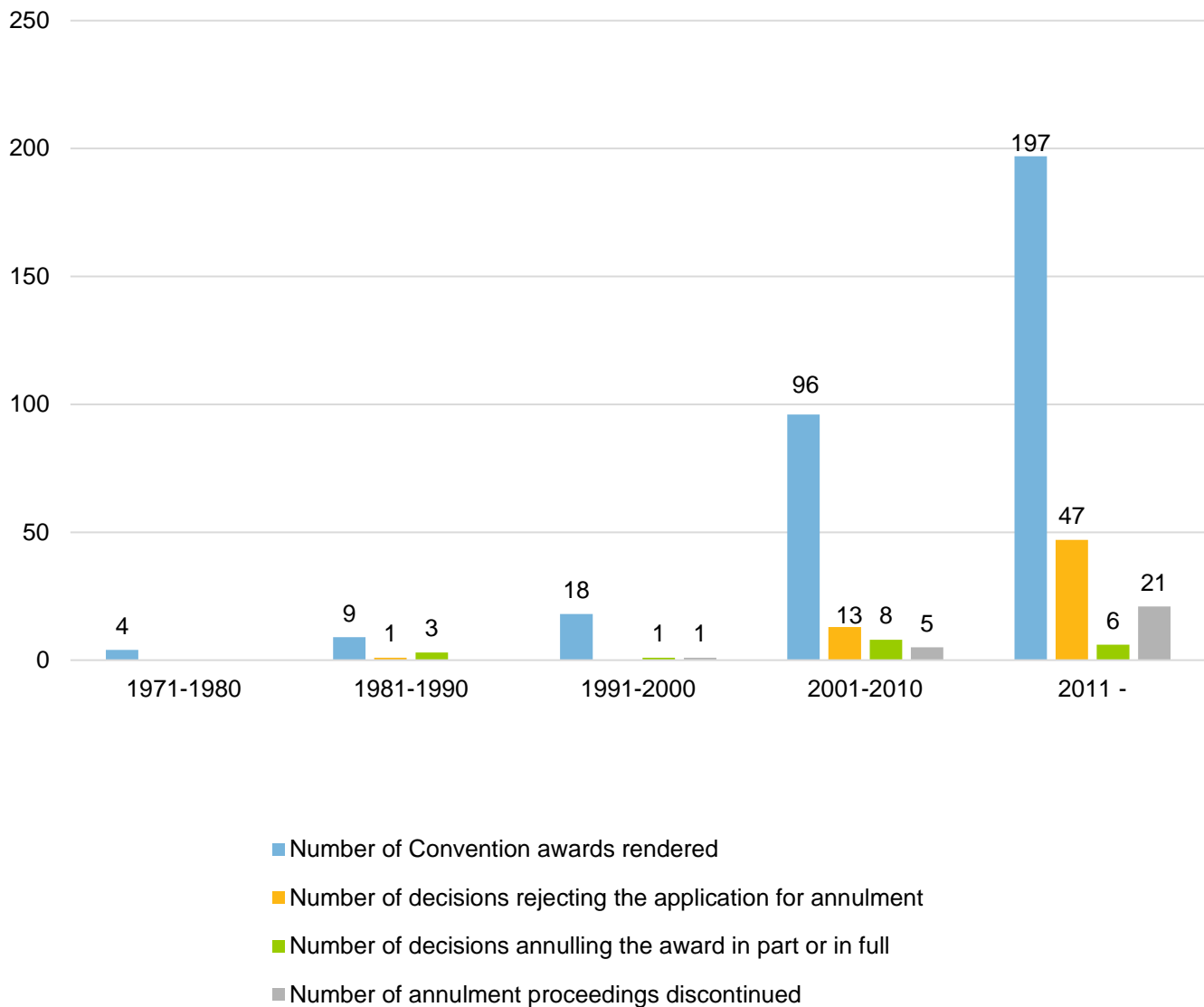
**Chart 11:** Conciliation Proceedings under the ICSID Convention and Additional Facility Rules – Commission Reports





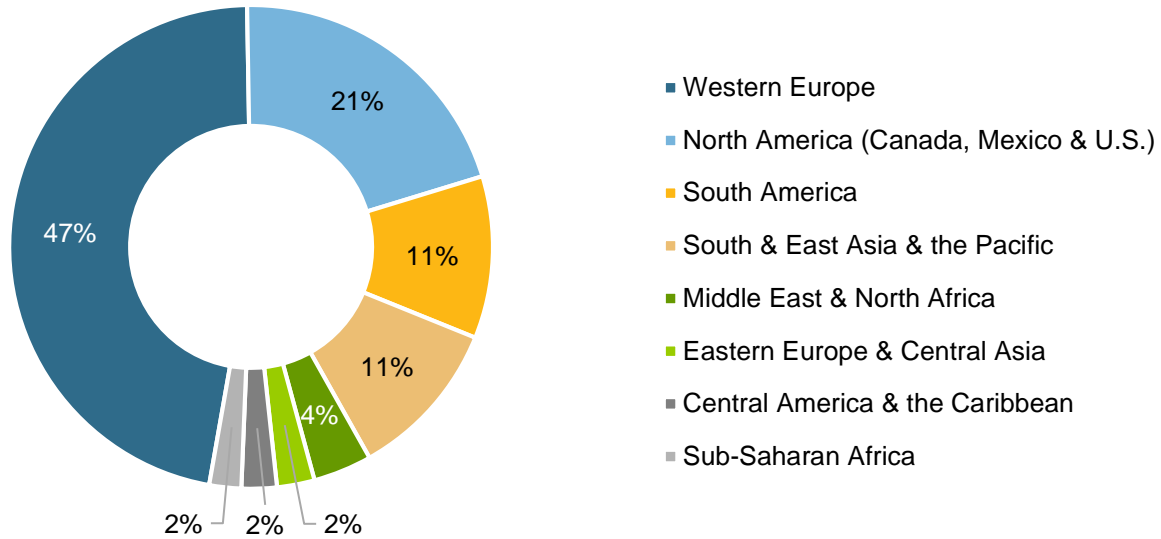
## 9. Annulment Proceedings under the ICSID Convention – Outcomes

**Chart 12:** Awards Rendered and Outcomes in Annulment Proceedings under the ICSID Convention, by Decade

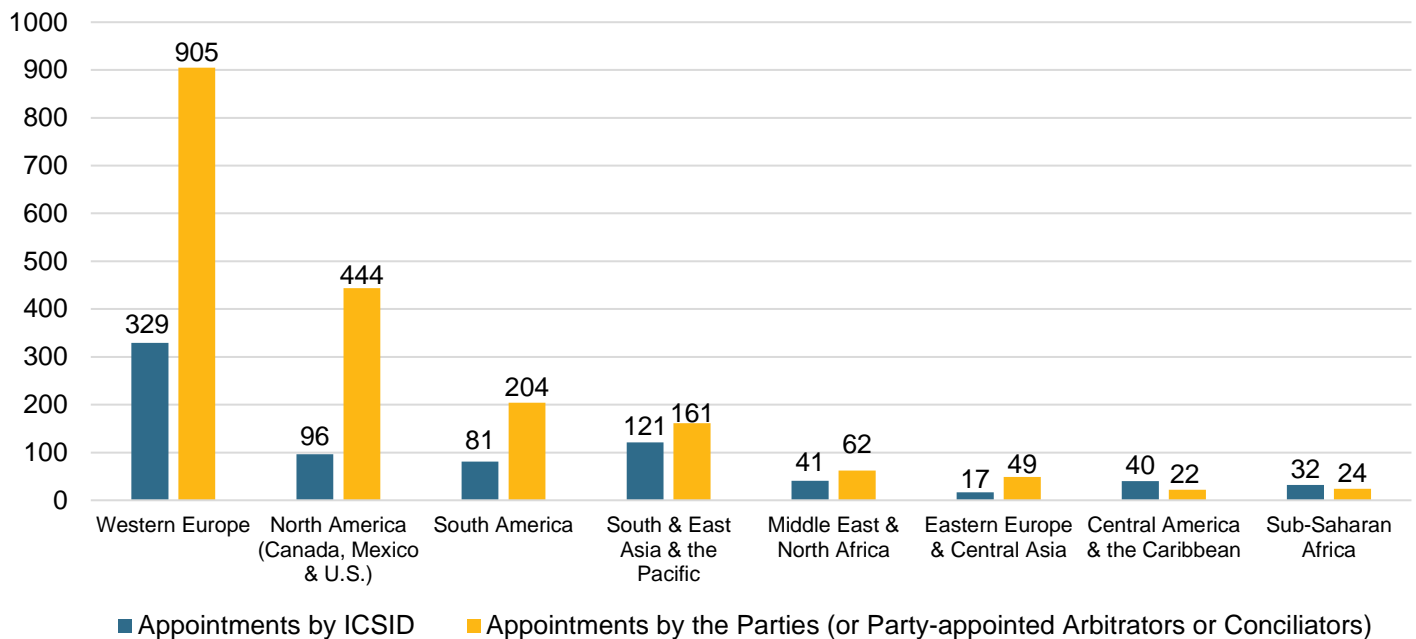


## 10. Arbitrators, Conciliators and *ad hoc* Committee Members Appointed in ICSID Cases

**Chart 13:** Arbitrators, Conciliators and *ad hoc* Committee Members Appointed in Cases Registered under the ICSID Convention and Additional Facility Rules – Distribution of Appointments by Geographic Region



**Chart 14:** Arbitrators, Conciliators and *ad hoc* Committee Members Appointed in Cases Registered under the ICSID Convention and Additional Facility Rules – Distribution of Appointments by ICSID and by the Parties (or Party-appointed Arbitrators or Conciliators) by Geographic Region



**Chart 15:** State of Nationality of Arbitrators, Conciliators and *ad hoc* Committee Members Appointed in Cases Registered under the ICSID Convention and Additional Facility Rules

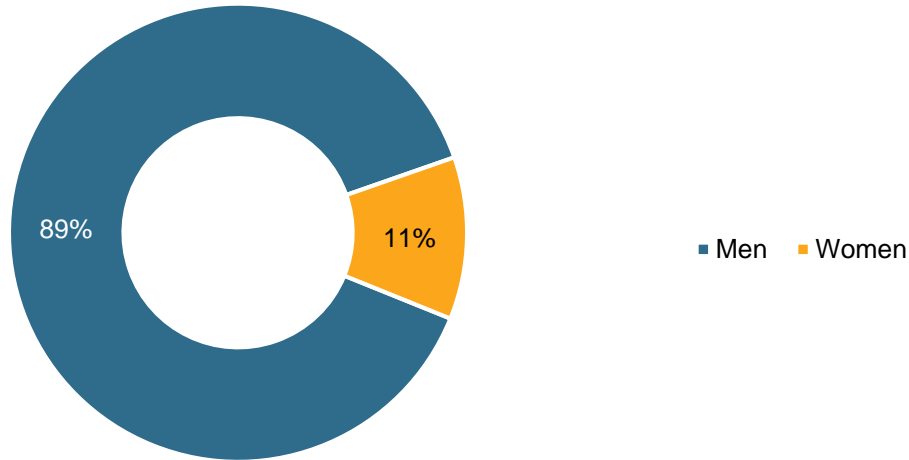
Nationality/ Nationalities	As of Dec 31, 2019
France	261
United States of America	253
United Kingdom	222
Canada	145
Switzerland	137
Spain	122
Australia	101
Germany	99
Mexico	74
Italy	72
Belgium	68
Argentina	64
Chile	62
Netherlands	52
New Zealand	49
Bulgaria	44
Colombia	44
Egypt, Arab Rep. of	37
Costa Rica	32
Sweden	30
France/Sweden	28
Singapore	25
United Kingdom/France	25
Austria	22
Canada/New Zealand	20
United States of America/Switzerland	19
Brazil	18
Argentina/Spain	18
Finland	15
China	14
Lebanon/France	14
Pakistan	14
Canada/United Kingdom	13
Guatemala	13

Nationality/ Nationalities	As of Dec 31, 2019
Korea, Rep.of	13
Morocco	13
Slovak Republic	13
Switzerland/Brazil	13
Bangladesh	12
France/Switzerland	12
Iran, Islamic Rep. of/France	12
Malaysia	12
Peru	12
Greece	11
Philippines	11
Germany/Austria	10
Ireland/Germany	10
Nigeria	10
Denmark	9
Uruguay	9
Venezuela	9
Australia/Ireland	8
Colombia/France	8
Somalia	8
Ecuador	7
Senegal	7
Thailand	7
Argentina/United States of America	6
India	6
Switzerland/Ireland	6
Canada/Lebanon	5
Guyana	5
United States of America/France	5
United States of America/United Kingdom	5
Algeria	4
Bahamas	4
Barbados	4
Cyprus	4

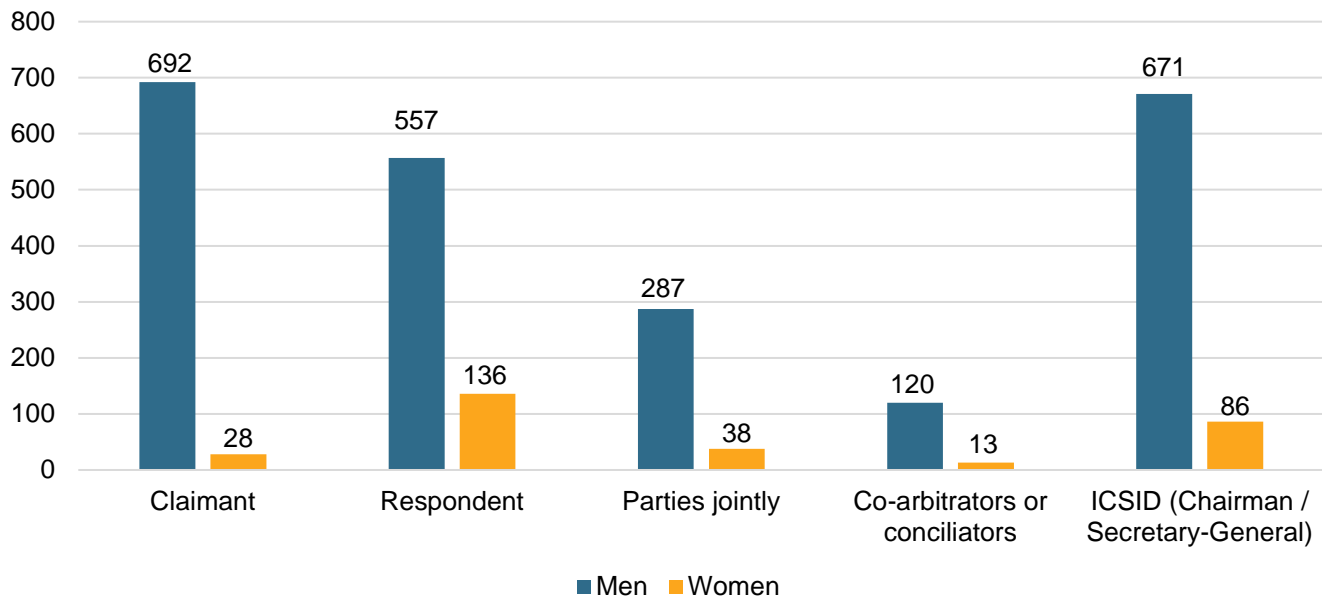
Nationality/ Nationalities	As of Dec 31, 2019
Iran, Islamic Rep. of	4
New Zealand/United Kingdom	4
Paraguay	4
United Kingdom/Italy	5
United Kingdom/Nigeria	4
Czech Republic	3
Honduras	3
Ireland/United States of America	4
Israel	3
Jamaica	3
Lebanon/Chile	3
Peru/Switzerland	3
Portugal	3
Serbia	3
South Africa	3
Togo	3
Benin	2
Cameroon	2
Chile/United States of America	2
France/Mauritius	2
Gabon	2
Ghana	2
Japan	2
Jordan	2
Lebanon	2
Madagascar	2
United Kingdom/Germany	2
Malawi	2
Mexico/Germany	2
Argentina/Brazil	1

Nationality/ Nationalities	As of Dec 31, 2019
Australia/Germany	1
Belgium/ United Kingdom	1
Burundi	1
Cabo Verde	1
Canada/Switzerland	1
Central African Republic	1
Croatia	1
Czechoslovakia	1
Dominica	1
Dominican Republic	1
El Salvador	1
France/Lebanon/Ecuador	1
France/Syria	1
Ireland	1
Israel/Switzerland	1
Luxembourg	1
Mexico/United States of America	1
Norway	1
Pakistan/United States of America	1
Slovenia	1
Sri Lanka	1
Sudan	1
Turkey	1
United Kingdom/Ghana	1
United Kingdom/Pakistan	1
United Kingdom/Uganda	1
United States of America/Canada	1
Zambia	1
France/New Zealand	1
United Kingdom/Iran, Islamic Rep. Of	1

**Chart 16:** Arbitrators, Conciliators and *ad hoc* Committee Members Appointed in Cases Registered under the ICSID Convention and Additional Facility Rules – Distribution of Appointments by Gender



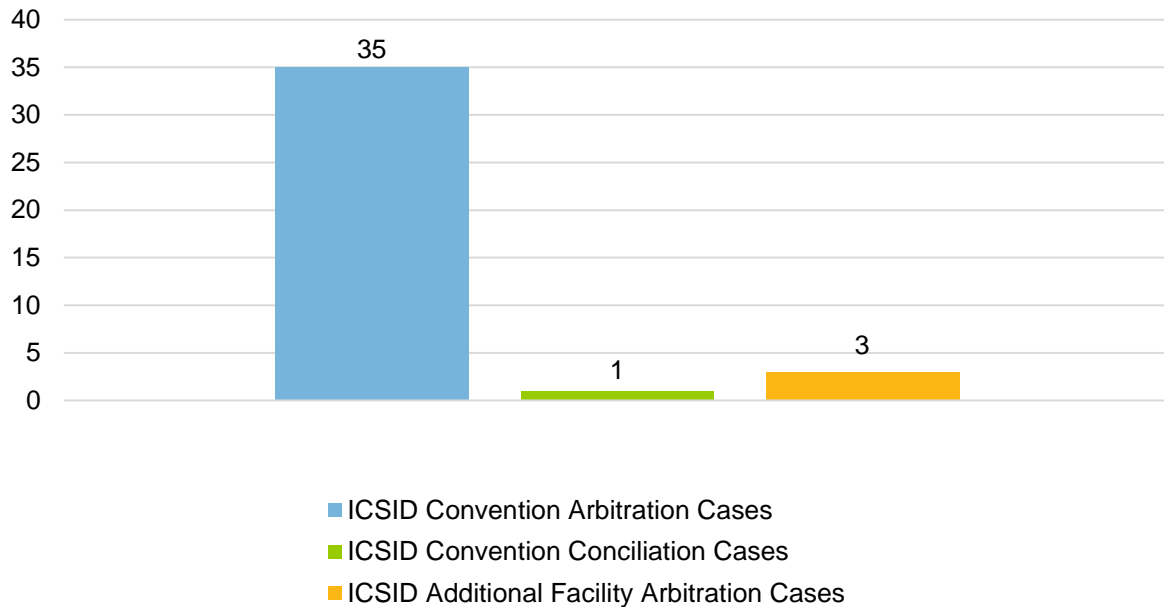
**Chart 17:** Arbitrators, Conciliators and *ad hoc* Committee Members Appointed in Cases Registered under the ICSID Convention and Additional Facility Rules – Distribution of Appointments by ICSID and by the Parties (or Party-appointed Arbitrators or Conciliators), by Gender



**PART II**  
**CASES REGISTERED AND ADMNISTERED**  
**BY ICSID IN 2019**

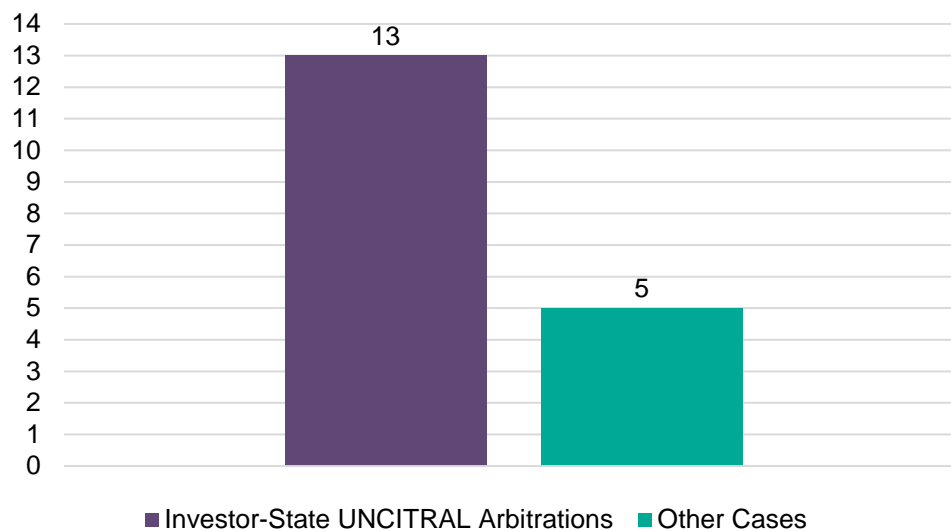
## 1. New Cases Registered by ICSID in 2019

**Chart 1:** Number of New Cases Registered under the ICSID Convention and Additional Facility Rules in 2019



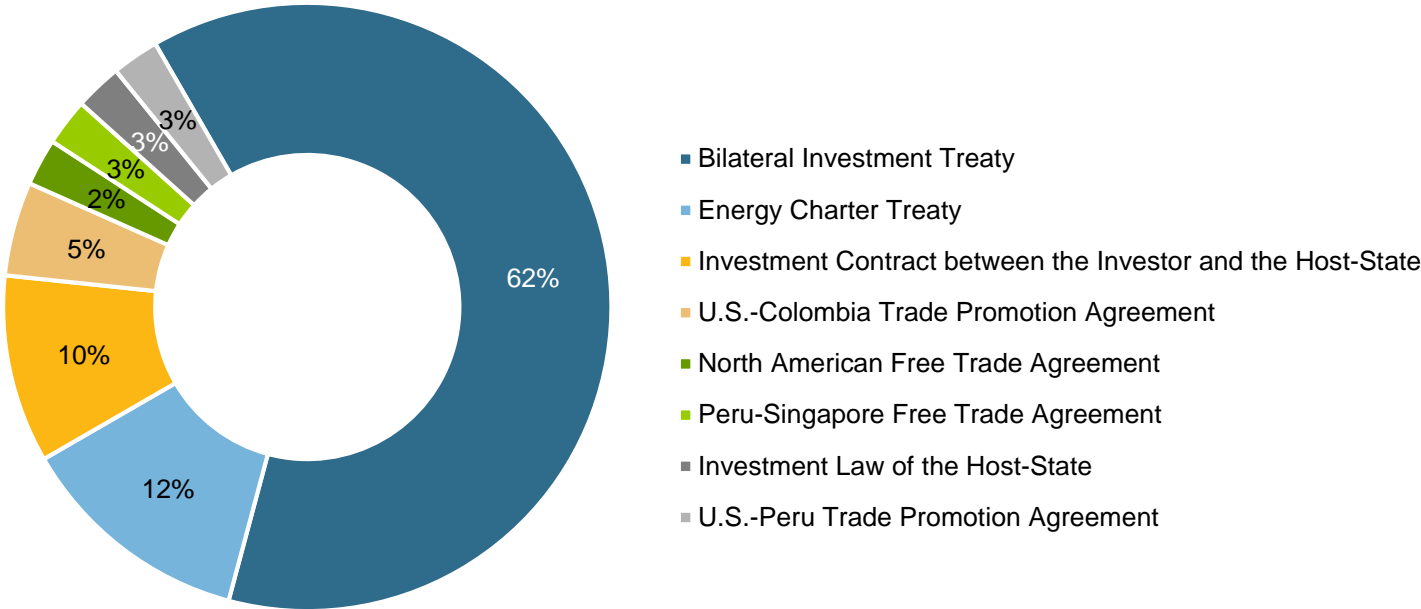
## 2. Non-ICSID Cases Administered by the ICSID Secretariat in 2019

**Chart 2:** Number of Non-ICSID Cases Administered by the ICSID Secretariat in 2019



3. Basis of Consent Invoked to Establish ICSID Jurisdiction in New Cases Registered in 2019

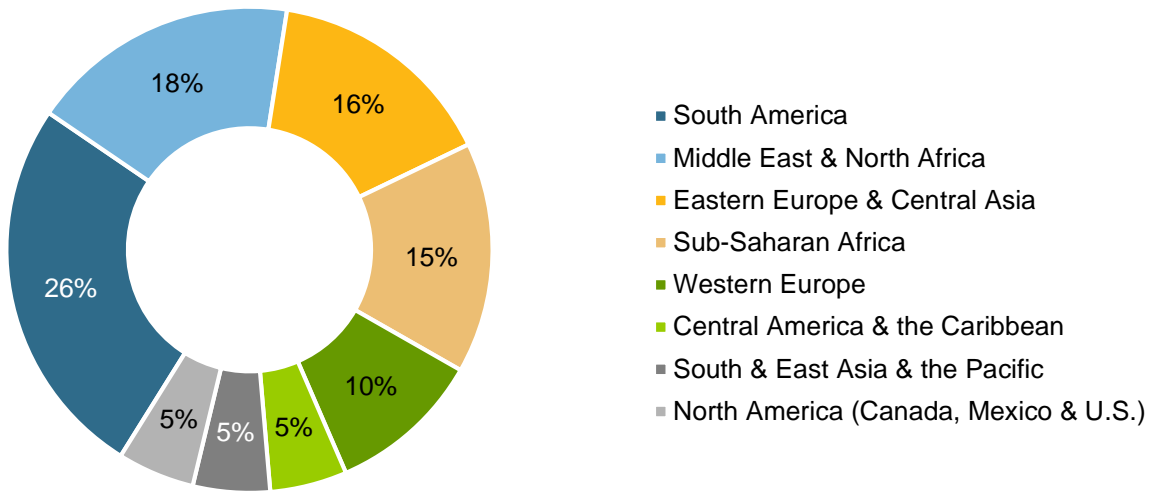
Chart 3: Basis of Consent Invoked to Establish ICSID Jurisdiction in New Cases Registered in 2019 under the ICSID Convention and Additional Facility Rules



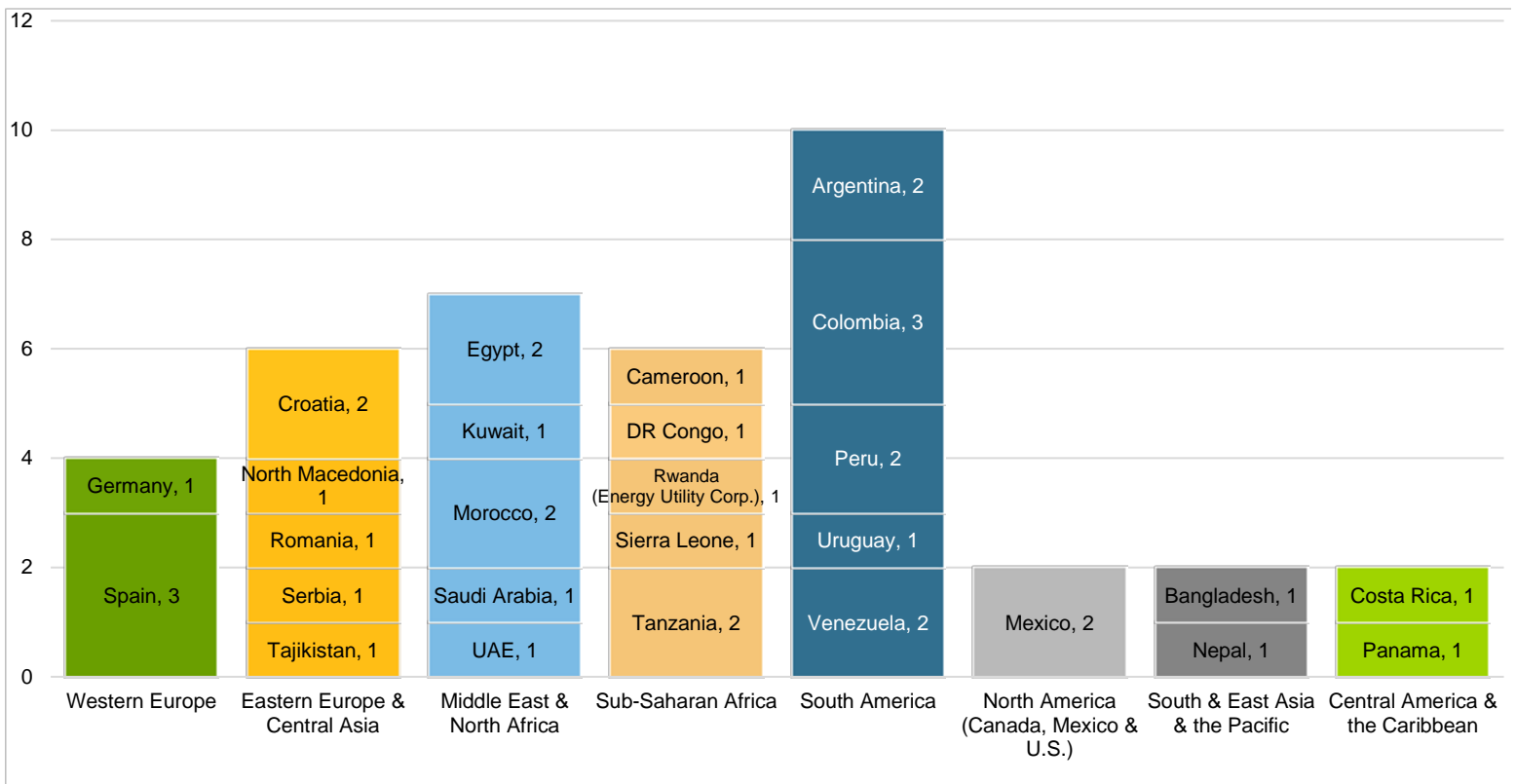


## 4. Geographic Distribution of New ICSID Cases Registered in 2019, by State Party Involved

**Chart 4:** Geographic Distribution of New Cases Registered in 2019 under the ICSID Convention and Additional Facility Rules, by State Party Involved

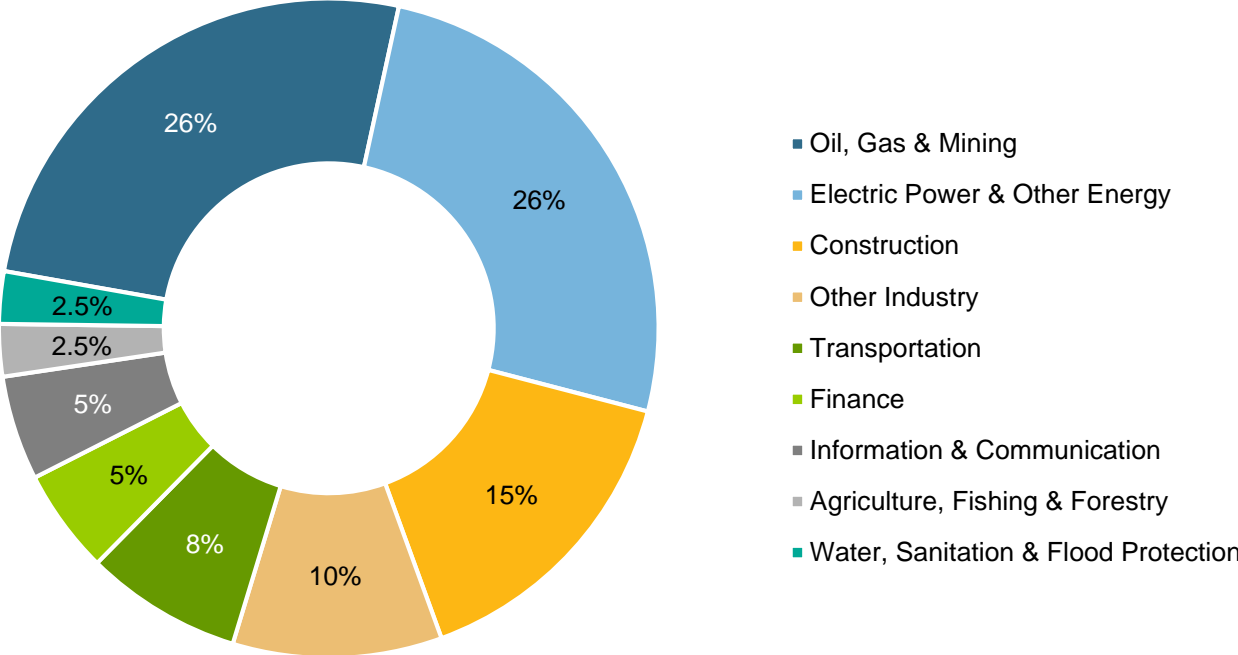


**Chart 5:** Geographic Distribution of New Cases Registered in 2019 under the ICSID Convention and Additional Facility Rules, by State Party Involved – Further Details



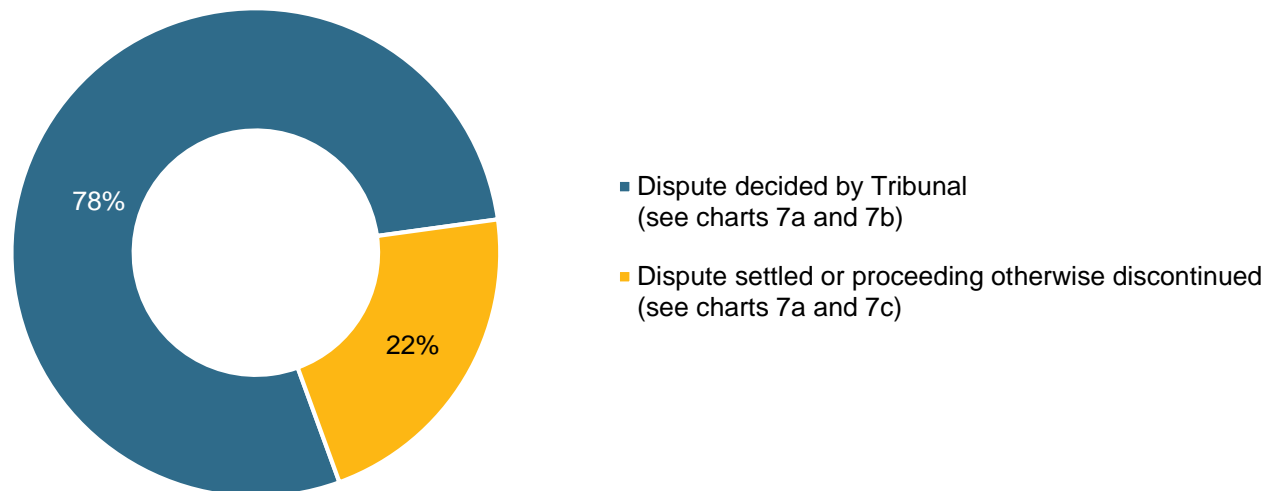
5. Distribution of New ICSID Cases Registered in 2019, by Economic Sector

Chart 6: Distribution of New Cases Registered in 2019 under the ICSID Convention and Additional Facility Rules, by Economic Sector

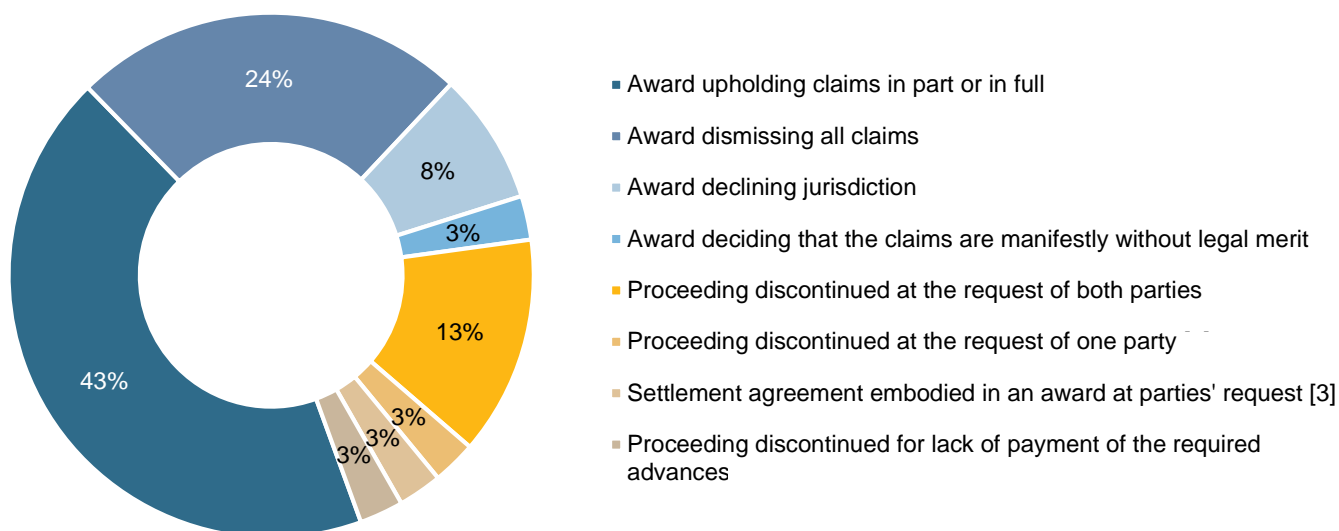


## 6. ICSID Arbitration Proceedings Concluded in 2019 – Outcomes

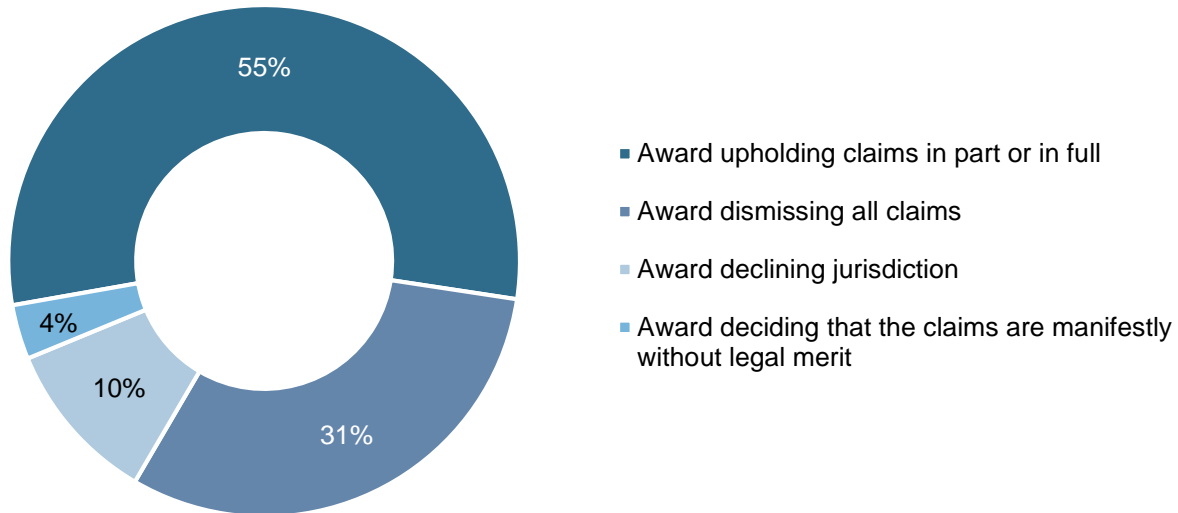
**Chart 7:** Arbitration Proceedings under the ICSID Convention and Additional Facility Rules concluded in 2019 – Outcomes



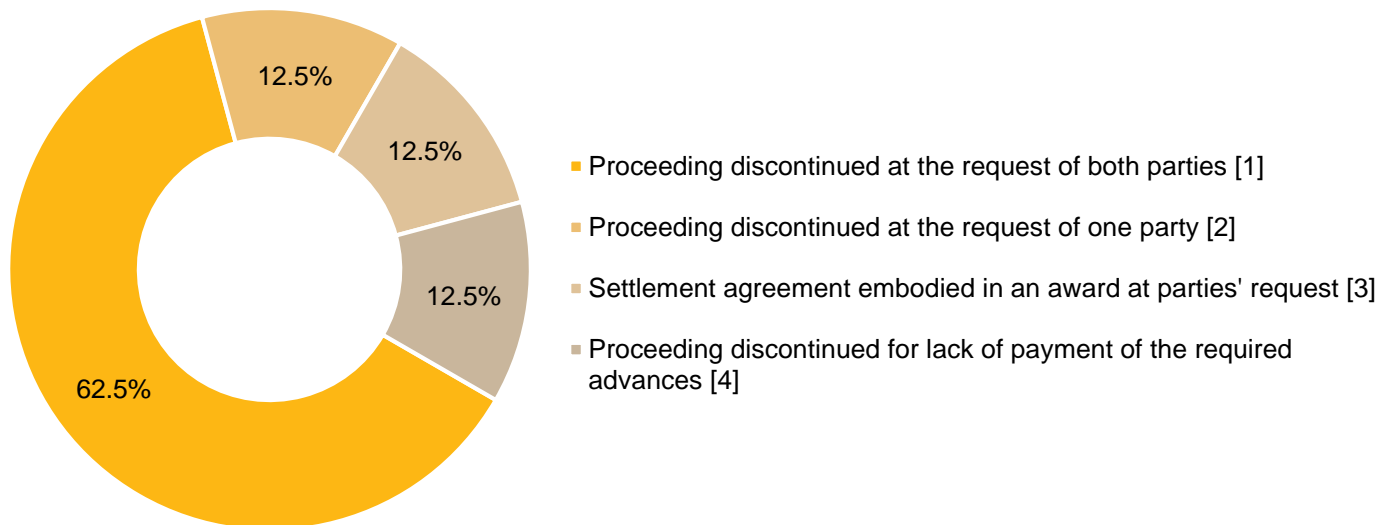
**Chart 7a:** Arbitration Proceedings under the ICSID Convention and Additional Facility Rules concluded in 2019 – Tribunal Rulings, Settlement & Discontinuances



**Chart 7b:** Disputes Decided by Arbitral Tribunals under the ICSID Convention and Additional Facility Rules in 2019 – Findings



**Chart 7c:** Disputes Settled or Proceedings Otherwise Discontinued under the ICSID Convention and Additional Facility Rules in 2019 – Basis



[1] ICSID Arbitration Rule 43(1). No case concluded on the basis of Arbitration (Additional Facility) Rule 49(1).

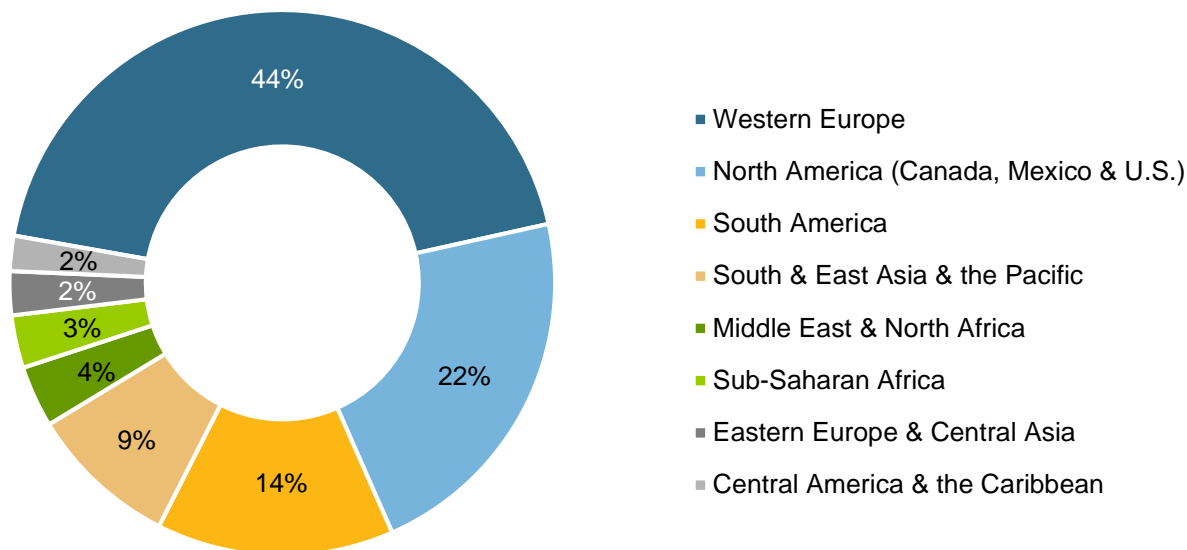
[2] ICSID Arbitration Rule 44. No case concluded on the basis of Arbitration (Additional Facility) Rule 50.

[3] ICSID Arbitration Rule 43(2). No case concluded on the basis of Arbitration (Additional Facility) Rule 49(2).

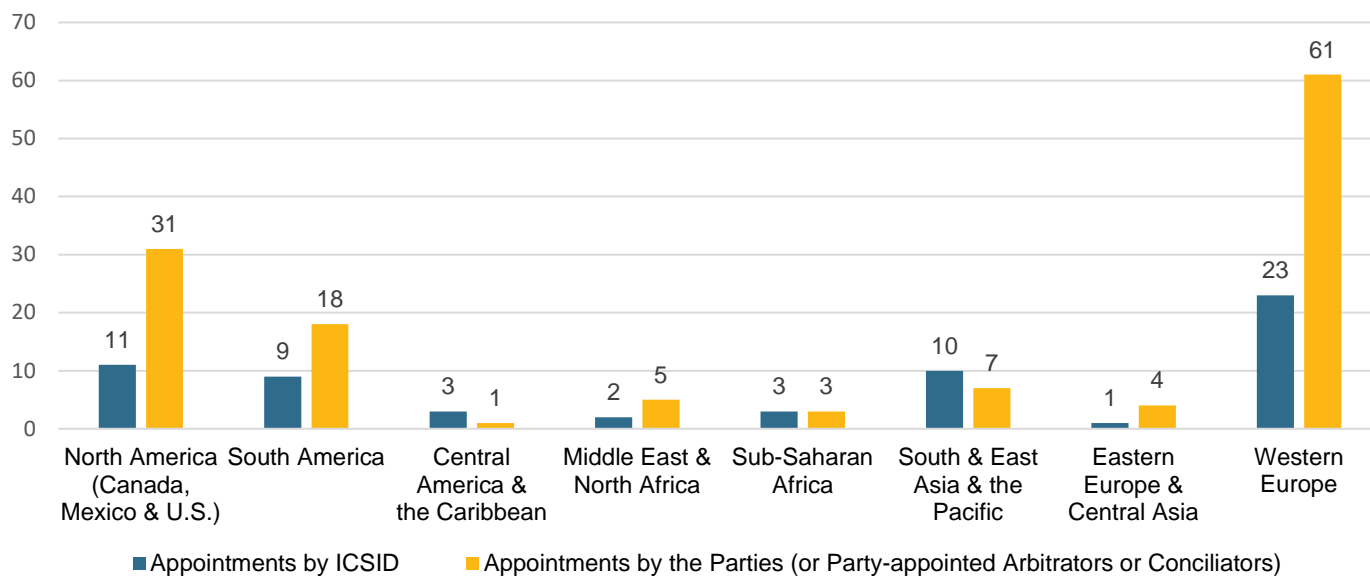
[4] ICSID Administrative & Financial Regulation 14(3)(d).

## 7. Arbitrators, Conciliators and *ad hoc* Committee Members Appointed in ICSID Cases in 2019

**Chart 8:** Arbitrators, Conciliators and *ad hoc* Committee Members Appointed in 2019 in Cases Registered under the ICSID Convention and Additional Facility Rules – Distribution of Appointments by Geographic Region



**Chart 9:** Arbitrators, Conciliators and *ad hoc* Committee Members Appointed in 2019 in Cases Registered under the ICSID Convention and Additional Facility Rules – Distribution of Appointments by ICSID and by the Parties (or Party-appointed Arbitrators or Conciliators) by Geographic Region

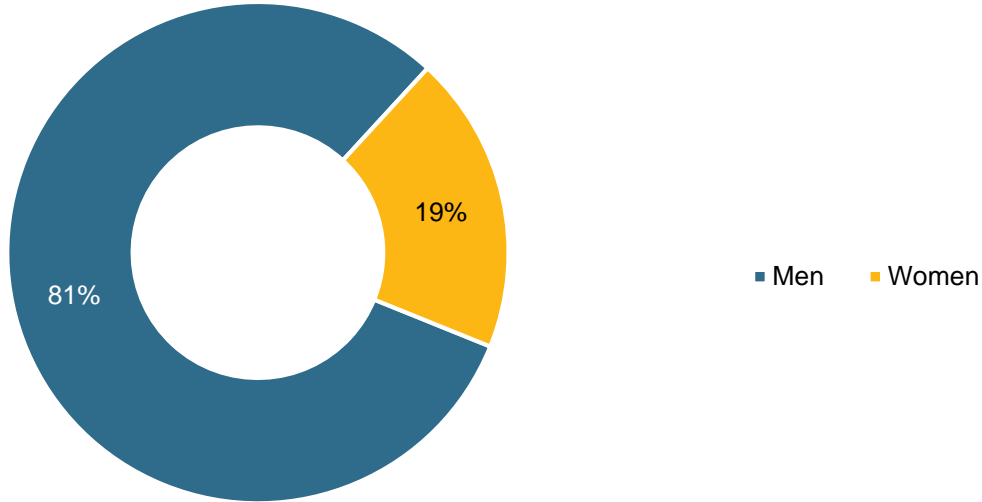


**Chart 10:** State of Nationality of Arbitrators, Conciliators and *ad hoc* Committee Members Appointed in 2019 in Cases Registered under the ICSID Convention and Additional Facility Rules

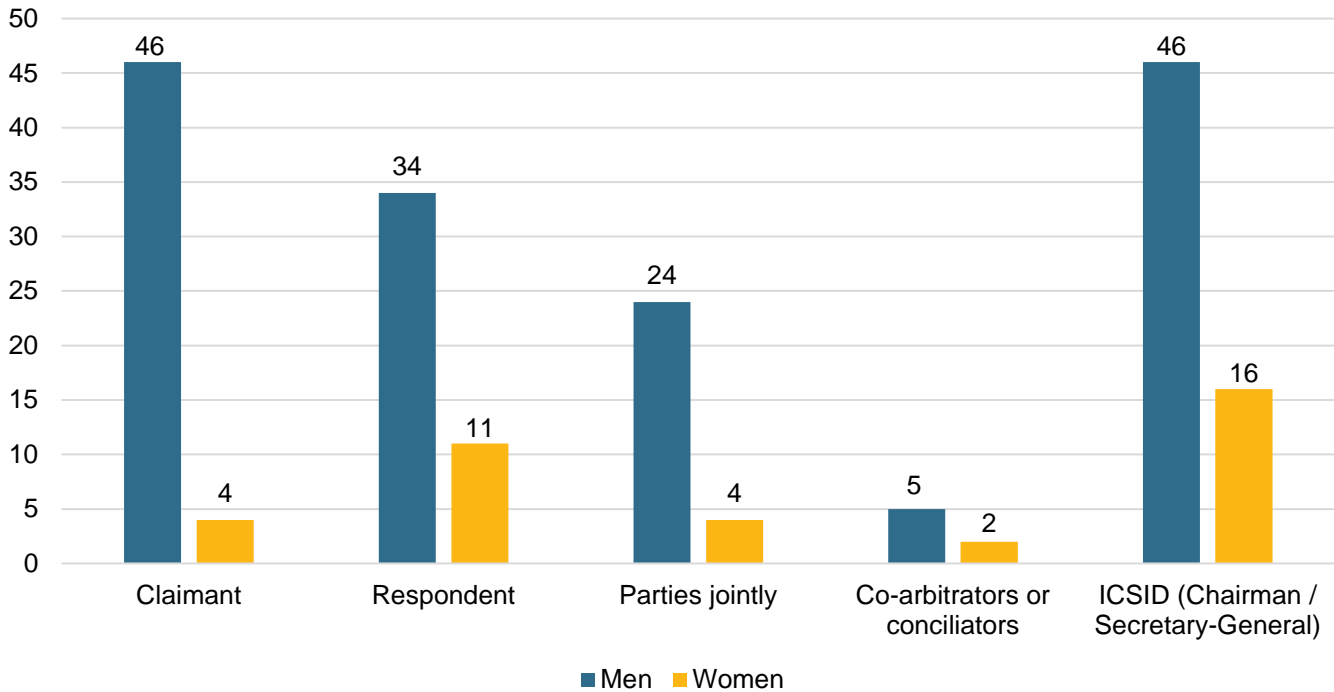
Nationality/ Nationalities	As of Dec. 31, 2019
France	19
United States of America	17
United Kingdom	13
Argentina	12
Spain	10
Canada	9
Mexico	9
Germany	8
Singapore	7
Switzerland	6
Australia	5
Netherlands	5
Belgium	4
Bulgaria	4
Chile	4
Colombia	3
Finland	3
Italy	3
Korea, Rep. of	3
France/Lebanon	2
France/Sweden	2
Ireland/United States of America	2
Mexico/Germany	2
Nigeria	2
Peru/Switzerland	2
United Kingdom/France	2
United Kingdom/Italy	2
Argentina/Brazil	1
Argentina/Spain	1
Australia/Ireland	1

Nationality/ Nationalities	As of Dec. 31, 2019
Bahamas	1
Brazil	1
Burundi	1
Cameroon	1
Canada/New Zealand	1
Canada/United Kingdom	1
Costa Rica	1
Egypt	1
El Salvador	1
France/New Zealand	1
France/Switzerland	1
Germany/Austria	1
Greece	1
Guatemala	1
Iran, Islamic Rep. of/France	1
Ireland	1
Lebanese/Chilean	1
Mexico/United States of America	1
Morocco	1
Pakistan	1
Paraguay	1
Peru	1
South Africa	1
Sweden	1
Switzerland/Brazil	1
Turkey	1
United Kingdom/Iran	1
United Kingdom/Nigeria	1
United States of America/United Kingdom	1

**Chart 11:** Arbitrators, Conciliators and *ad hoc* Committee Members Appointed in Cases Registered under the ICSID Convention and Additional Facility Rules in 2019 – Distribution of Appointments by Gender



**Chart 12:** Arbitrators, Conciliators and *ad hoc* Committee Members Appointed in Cases Registered under the ICSID Convention and Additional Facility Rules in 2019 – Distribution of Appointments by ICSID and by the Parties (or Party-appointed Arbitrators or Conciliators), by Gender



ICSID is the world's leading institution devoted to international investment dispute settlement. It has extensive experience in this field, having administered the majority of all international investment cases. States have agreed on ICSID as a forum for investor-State dispute settlement in most international investment treaties and in numerous investment laws and contracts.

ICSID was established in 1966 by the Convention on the Settlement of Investment Disputes between States and Nationals of Other States (the ICSID Convention). The ICSID Convention is a multilateral treaty formulated by the Executive Directors of the World Bank to further the Bank's objective of promoting international investment. ICSID is an independent, depoliticized and effective dispute-settlement institution. Its availability to investors and States helps to promote international investment by providing confidence in the dispute resolution process. It is also available for State-State disputes under investment treaties and free trade agreements, and as an administrative registry.